



**INITIATION PLAN
FOR A GEF PROJECT PREPARATION GRANT (PPG)**

Country: Algeria

Project Title: "Developing a national strategy and legal and institutional framework on access to genetic resources and related benefit sharing and traditional knowledge in line with the CBD and its Nagoya Protocol in Algeria"

PPG/Initiation Plan Start Date: 01 December 2014

PPG/Initiation Plan End Date: 21 May 2015

CdCS/CPD (UNDAF) Outcome N°4 : « La protection des ressources naturelles, la gestion durable de l'environnement, la lutte contre la pollution et la protection contre les effets des changements climatiques et catastrophes naturelles sont améliorées ». Il contribue particulièrement aux résultats suivants relatifs aux Changements Climatiques

Output 23. D'ici 2014, les programmes nationaux de lutte contre la désertification et de la préservation et l'utilisation durable des ressources naturelles seront renforcés en matière de planification, de mise en œuvre, de suivi et d'évaluation

- ATLAS Project Award: 00081384
- ATLAS Project ID: 00090673
- UNDP Project ID (PIMS): 5311
- GEF Project ID (PMIS): 5808
- Duration: 26 weeks (PPG), 48 months (MSP)
- Management Arrangement: DIM

PPG total budget: USD 90,000

- GEF USD 60,000
- UNDP TRAC USD 30,000

AGREED BY UNDP RESIDENT REPRESENTATIVE / UNDP DIRECTOR:

Cristina Amaral
Name: Cristina Amaral, UNDP Resident Representative

Signature: *[Handwritten Signature]*

Date (day/month/year):



SECTION 1: BRIEF DESCRIPTION OF PROJECT AND PPG/INITIATION PLAN

The Nagoya ABS Protocol was adopted in October 2010 in Japan by the 10th Conference of the Parties to the Convention on Biological Diversity (CBD). It entered into force on October 2014 at CBD COP-12. The Nagoya Protocol is of strategic importance because it provides a transparency mechanism but also a legal certainty for providers and users of genetic resources and associated traditional knowledge, while strengthening the opportunities for equitable sharing of benefits arising from their use. Parties to the CBD have been asked to determine the best approach for implementing the Protocol in order to achieve common goals, while taking into account national interests. In Algeria, a law on biological resources was adopted by the Council of the Nation on 9 July 2014 to preserve national biological wealth and fight against its uncontrolled exploitation. The creation of a "national body of biological resources" responsible for the rational and organized exploitation of these resources was also announced by the Minister of Planning and Environment.

The Government of Algeria has sought UNDP support to implement the Project Preparation Grant (PPG) for the UNDP-GEF medium-sized project "**Developing a national strategy and legal and institutional framework on access to genetic resources and related benefit sharing and traditional knowledge in line with the CBD and its Nagoya Protocol in Algeria**". The project seeks to *consolidate actions to conserve and sustainably use genetic resources and related traditional knowledge in Algeria through the development and implementation of a national strategy and legal and institutional framework on access and benefit sharing (ABS) in line with the CBD and the Nagoya Protocol*, and has two components: 1) Developing a national policy, legal and institutional framework to enable the implementation of the Nagoya Protocol and the conservation and valuation of genetic resources, and 2) Building and strengthening the capacity of national research and regulatory institutions to apply ABS rules and principles.

On 21 May 2014, the GEF CEO approved the project concept (the Project Identification Form; for its approval see Annex 1). Project development now will include a preparatory phase in the form of a **Project Preparatory Grant (PPG)**, co-financed by GEF and the UNDP Country Office in Algeria. The present **Initiation Plan (IP)** operationalises the implementation of the PPG. **During the PPG/IP**, a team of national and international consultants together with the UNDP Country Office in Algeria and the responsible UNDP-GEF Regional Technical Advisor (based in Istanbul) – and working in close collaboration with key government officials, donors, NGOs, CBOs, and the private sector – will undertake a number of literature reviews, studies, stakeholder consultations and field visits, with the view to further develop the approved PIF into a fully formulated project ready to start full-fledged implementation.

The UNDP Country Office in Algeria will lead the PPG/IP and manage the PPG budget under the Direct Implementation Modality (DIM), in close coordination with the Ministry of Agriculture and Rural Development of Algeria. The related activities will include *inter alia*: the selection and contracting of PPG consultants, arranging travels and meetings, and project disbursements including the payment of PPG consultants following approval of the stipulated deliverables. The **Key Expected Outputs of the PPG/IP** will be a technically robust **UNDP Project Document (PRODOC)** and a **Request for GEF-CEO Endorsement package ready for submission to the GEF Secretariat**. The preparatory phase will moreover confirm the lead national implementing agency, identify further responsible parties and implementing partners (as mentioned in the PIF), and negotiate and define implementation arrangements. Consultation with stakeholders relevant for project activities will put emphasis on building the partnerships required for realizing the project's objectives and the mobilization of co-financing. The PPG budget and work plan are presented in Section 3. An overview of the team of consultants and the TORs for the Team Leader are included in Annex 5.

A **national technical working group** will be created to lead national stakeholder involvement on project strategy design. The national technical team will support the work of the team of consultants by providing data

and information and background material as well as assisting with organizing stakeholder meetings, as required. The main responsibilities of the national technical working group will be to provide the required information to the team of consultants; support stakeholder consultations and ensure their participation; support problem analysis; and ensure alignment with national priorities and understanding of the local and national contexts.

Considering the above PIF approval date, the **GEF CEO Endorsement Request package and PRODOC must therefore be submitted to the GEF CEO by 21 May 2015**, in respect of the 12-month milestone. To meet this milestone, the CEO Endorsement Request package and PRODOC will be submitted in final draft versions for internal clearance to UNDP four weeks before this deadline. Subsequent revisions addressing UNDP-GEF or GEF Secretariat queries may be required and are part of the PPG/IP. The final submissions to the GEF will require prior government validation and clearance. Submission to the GEF will only be effected after all due clearances have been obtained. Consultation with stakeholders relevant for the project activities will put emphasis on building the partnerships required for realizing the project's objectives and the mobilization of co-financing. The national consultation process will also include the local communities that are expected to benefit from the Project.

SECTION 2: PROJECT PREPARATION ACTIVITIES

The activities to be conducted under the PPG/IP include:

Component A: Technical review and stakeholder consultations

- Regular exchanges between the UNDP Country Office, UNDP-GEF RTA, Government Partners and further stakeholders on the development and finalization of the PRODOC and CEO Endorsement Request package.
- PPG inception: at the onset of the PPG, bring together the leading stakeholders from government, partners and UNDP (country office and RTA), as well as the team of PPG consultants to agree on process and roles and quality assurance; depending on timelines and availability, this may involve participants participating online. Prepare a PPG key-point inception report.
- Stakeholder analysis and engagement:
 - building on the tentative list of stakeholders already identified in the PIF, further elaborate the analysis of relevant stakeholders, including from national government (sector administrations/ ministries/ agencies), local administrations (wilaya, daïras and municipalities), civil society/NGOs, local populations, the private sector, and universities and research institutes, especially with regard to their responsibilities pertaining to ABS (including providers and users of genetic resources and traditional knowledge) and their roles in the project;
 - outline a plan for stakeholder participation/ engagement for both PPG and especially MSP;
 - reach out, engage and sensitise stakeholders about the project and secure their input to its design, including through national and regional/local workshops;
 - to secure a strong national ownership, ensure full participation of – and agreement by – key government representatives and other stakeholders in the development of the project strategy and results framework building on the objectives, outcomes and outputs defined in the PIF.
- Negotiate partnerships with on-going projects to align their activities and to build synergies.
- **Desktop studies, field-based research and stakeholder consultations, to validate and further elaborate on the on the ABS & TK-related situation analysis underpinning project design (building on the PIF).** This will include *inter alia*:
 - A quick review of the status of knowledge on genetic resources and traditional knowledge in Algeria and their utilisation, as well as of threats to them and of related opportunities resulting from the adoption and implementation of the ABS Protocol;

- Further define the problem, baseline investment and barrier analyses, and assess and define the adequacy, technical feasibility, additionality, incrementality and cost-effectiveness of the project's interventions;
- A review of existing policies and plans (national strategies, policies, territorial and sector plans, etc.), institutional set up and decision making processes (evolution, main actors, roles and responsibilities, coordination, partnership, decentralization and local governance, level of participation of actors, procedures and operational mechanisms), legal and regulatory frameworks, planning and approval systems, relevant to ABS and related bio-prospecting as well as to traditional knowledge; with an assessment of their strengths, weaknesses, opportunities and threats;
- An assessment of opportunities/risks identified during the environmental and social pre-screening of the PIF (see Annex 4) through application and completion of the UNDP Social and Environmental Screening; prepare risk mitigation measures to be incorporated into project design and costs.
- An assessment of existing capacity on ABS & TK and definition of related capacity development / training needs.
- Assess options for the financial mechanism to be developed for collecting and distributing benefits from ABS;
- Provide international benchmarking regarding the implementation of the Nagoya Protocol in other countries as a model or inspiration;
- Review ongoing efforts on the conservation, regulation of access to genetic resources and associated traditional knowledge and the sharing of benefits arising from their use in Algeria;
- Building on the PIF and the above background research
 - **define the summary problem, baseline investment and barrier analyses, and the assessment and definition of the adequacy, technical feasibility, additionality, incrementality and cost-effectiveness of the project's interventions;**
 - identify specific sites for intervention, as required;
 - **elaborate project interventions in detail and the strategic results framework**, including suitable objective-level and outcome-level quantitative and qualitative SMART indicators and the related baselines and end-of-project targets, including socio-economic and sex disaggregated indicators.
- Further integrate the project with the goals and priorities of relevant national and sectoral development plans, policies and budgets
- Identify relevant existing and planned initiatives on ABS, bio-prospecting and TK – discussions will be held with government agencies in order to list the programme activities in liaison with the project; integration of complementary projects will be ensured through all the stakeholder consultations and preparation meetings that will be conducted during this phase; integration and coordination will be reflected in the project strategy; Outcome 2.3 and output 2.7 of the project aim at identifying existing projects in related fields.
- Develop a detailed monitoring and evaluation (M&E) work plan, to include clear identification of responsibilities and accountabilities as well as an appropriate M&E budget. The plan will be based on the standard template provided in the UNDP-GEF project document template that reflects the mandatory requirements of the GEF M&E Policy, but add further elements allowing continuing in-depth M&E throughout the project in line with the need for increased attention on M&E.
- Completing/updating the UNDP and GEF Tracking Tools required for the project:
 - GEF ABS TT (synonymous with ABS Capacity Scorecard). A baseline survey will be led with the national agencies with competency on ABS and other relevant stakeholder groups/institutions to enable measurement of capacity and capacity development activities with the ABS Tracking Tool. The related targets will be defined during PPG.
 - UNDP Capacity Development Scorecard
- Developing a sustainability plan, outlining the principles and guidelines for ensuring the long-term sustainability of project achievements.

- Addressing/answering the opportunities/risks identified in the context of PIF approval: in the GEF Secretariat Review Sheet, the GEF STAP Review, and by the GEF Council (see Annexes 3a-c).

Component B: Institutional and management arrangements, co-financing investments and financial planning

- Undertake consultations with partners to secure a coherent and sustainable financing package for the project including post-GEF grant phase. Explore multilateral and bilateral co-financing opportunities as required.
- Ensure issuance of co-financing letters/guarantees to be collected from participating government institutions, bilateral development partners, multilateral development partners and NGOs who wish and/or committed to provide cash or in kind contributions to the project.
- Prepare a detailed multi-year budget and work plan for the project (following the standard template provided in the UNDP-GEF project document template), indicating the inputs needed (consultants, contractual services, travel, etc.) in the applicable format.
- Define the institutional, implementation and management arrangements agreed between key government representatives and other stakeholders (including roles, responsibilities and accountabilities of lead and partner agencies, and the organisational structure and board governing the project); document the related consultations.

Component C: Finalisation and Validation of Key Outputs

- Building on the above, critically review and validate the results of the studies undertaken and **lead the formulation/consolidation and completion of the PRODOC and Request for CEO Endorsement Package.**
- Validation workshop gathering key stakeholders to present, discuss and **validate the final draft project document** in all its aspects.

SECTION 3: TOTAL BUDGET AND WORK PLAN

ATLAS Award ID:	00081384							
ATLAS Project ID:	00090673							
ATLAS Award Title:	ABS nat. strategy, legal & institutional framework							
Business Unit:	DZA10							
Project Title:	Developing a national strategy and legal and institutional framework on access to genetic resources and related benefit sharing and traditional knowledge in line with the CBD and its Nagoya Protocol in Algeria							
UNDP Project ID:	5311							
GEF Project ID:	5808							
Implementing Agency:	UNDP (DIM)							
GEF Outcome/ Atlas Activity	Responsible Party	Fund ID	Donor Name	ATLAS Budget Code	Budget Description	GEF Total US\$	UNDP Total US\$	
PPG to prepare the UNDP-GEF PRODOC and GEF CEO Endorsement Request package for the project "Developing a national strategy and legal and institutional framework on access to genetic resources and related benefit sharing and traditional knowledge in line with the CBD and its Nagoya Protocol in Algeria"	UNDP	62000	GEF Trustee	71200	International Specialist for GEF Biodiversity Project Development (Team Leader)	18,000		
				71200	International Specialist on ABS and Nagoya Protocol	18,000		
				71300	National ABS and Genetic Resources Specialist	15,000		
				71300	National Policy, Regulatory and Institutional Specialist	9,000		
	UNDP	04000	UNDP	74220	Translation of PRODOC & CEO Endorsement Request			6,000
				71600	International Travel: Team Leader			2,000
				71600	International Travel: International Specialist on ABS and Nagoya Protocol			2,000
				71600	DSA Algiers: Team Leader			5,760
				71600	DSA Field: Team Leader			0
				71600	DSA Algiers: International Specialist on ABS and Nagoya Protocol			5,760
				71600	DSA Field: International Specialist on ABS and Nagoya Protocol			0
				71600	DSA Field: National ABS and Genetic Resources Specialist			2,100
				71600	Local Consultants Travel Flight			750
				74500	Miscellaneous Expenses			
75700	Workshops for inception, stakeholder consultations and validation				5,630			
TOTAL						60,000	30,000	
TOTAL						90,000		

ANNEXES

Annex 1: PIF and PPG Approval, by GEF CEO



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Naoko Ishii
CEO and Chairperson

May 21, 2014

Ms. Adriana Dinu
GEF Executive Coordinator
United Nations Development Programme
One United Nations Plaza
304 East 45th St
1F Bldg., 10th floor
New York, NY 10017

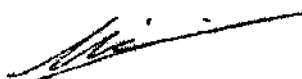
Dear Ms. Dinu,

I am pleased to inform you that I have approved the medium-sized project concept detailed below. I have also approved your request for project preparation grant.

Decision Sought:	Project Identification Form (PIF) and Project Preparation Grant (PPG) Approval
GEFSEC ID:	5808
Agency(ies):	UNDP
Agency ID:	5311 (UNDP)
Focal Area:	Biodiversity
Project Type:	Medium Sized Project
Country(ies):	Algeria
Name of Project:	Developing a National Strategy and Legal and Institutional Framework on Access to Genetic Resources and Related Benefit Sharing and Traditional Knowledge in Line with the CBD and Its Nagoya Protocol in Algeria
Indicative GEF Project Grant:	\$1,940,000
Indicative Agency Fee:	\$184,300
PPG Grant:	\$60,000
PPG Agency Fee:	\$5,700
Funding Source:	GEF Trust Fund

This PIF and PPG approval is subject to the comments made by the GEF Secretariat in the attached project review document. It is also based on the understanding that the project is in conformity with GEF focal areas strategies and in line with GEF policies and procedures. Please submit your final medium-sized project document for my approval no later than 12 months after PIF approval.

Sincerely,



Naoko Ishii
Chief Executive Officer and Chairperson

Attachment: GEFSEC 1 Project Review Document
Copy to: Country Operational Focal Point, GEF Agencies, SIAP, Trustee

Annex 2: Endorsement Letter by the Algerian GEF OFP of 15 April 2014

LETTERHEAD

To:
Ms. Adriana Dinu
UNDP-GEF Executive Coordinator
United Nations Development Programme
304 East 45th Street 9th Floor
New York, NY 10017 USA

Algiers, 15 April 2014

Subject: Endorsement for the Project "Developing a national policy and institutional and legal framework on access to genetic resources and related benefit sharing and traditional knowledge in Algeria"

In my capacity as GEF Operational Focal Point for Algeria, I confirm that the above mentioned project proposal (a) is in accordance with my government's national priorities and our commitment to the relevant global environmental conventions, and (b) was discussed with relevant stakeholders including the global environmental convention focal points.

Accordingly I am pleased to endorse the preparation of the above project proposal with the support of UNDP as GEF implementing agency. If approved, the proposal will be nationally prepared and implemented by the Ministry of Agriculture and Rural Development. I request UNDP to provide a copy of the project document before it is submitted to the GEF Secretariat for CEO endorsement.

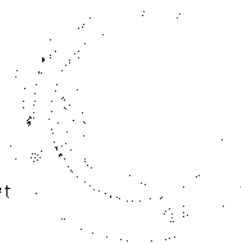
The total financing from the GEF Trust Fund being requested for this project is US\$ 2,190,000, inclusive of Project Preparation Grant and agency fees for project cycle management services associated with the total GEF grant. The financing requested for this project in Algeria is detailed in the table below:

Source of Funds	GEF Agency	Focal Area	Amount (in US\$)			
			Project Preparation	Project	UNDP Fee (9.5%)	Total
GEF TF	UNDP	BD	60,000	1,940,000	190,000	2,190,000
Total GEF Resources			60,000	1,940,000	190,000	2,190,000

Inherent to the utilization of Algeria's allocations in GEF-5 as defined in the System for Transparent Allocation of Resources (STAR).

Sincerely,

Mr. Samir GRIMES
GEF Operational Focal Point



Copy to: Ministry of Foreign Affairs
Ministry of Land Planning and Environment
Ministry of Agriculture and Rural Development
UNDP Resident Representative, Algeria

Annex 3a: Comments in the context of PIF approval: GEF Review

Nothing to be addressed during PPG

Annex 3b: Comments in the context of PIF approval: GEF Council Comments

N/A

Annex 3c: Comments in the context of PIF approval: STAP Review

No STAP Review received

ENVIRONMENTAL AND SOCIAL SCREENING SUMMARY

Name of Proposed Project Developing a national strategy and legal and institutional framework on ABS in Algeria

A. Environmental and Social Screening Outcome

Category 1: No further action is needed

Category 2: Further review and management is needed. There are possible environmental and social benefits, impacts, and/or risks associated with the project (or specific project component), but these are predominantly indirect or very long-term and so extremely difficult or impossible to directly identify and assess.

Category 3: Further review and management is needed, and it is possible to identify these with a reasonable degree of certainty. If Category 3, select one or more of the following sub-categories:

Category 3a: Impacts and risks are limited in scale and can be identified with a reasonable degree of certainty and can often be handled through application of standard best practice, but require some minimal or targeted further review and assessment to identify and evaluate whether there is a need for a full environmental and social assessment (in which case the project would move to Category 3b). See Section 3 of the Review and Management Guidance.

Category 3b: Impacts and risks may well be significant, and so full environmental and social assessment is required. In these cases, a scoping exercise will need to be conducted to identify the level and approach of assessment that is most appropriate. See Section 3 of Review and Management Guidance.

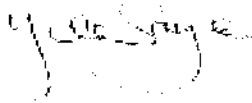
B. Environmental and Social Issues (for projects requiring further environmental and social review and management)

The main issue to be addressed is the need to consider local populations in the development of the ABS framework in Algeria through due consultation, recognition of economic interests and cultural heritage, protection of Traditional Knowledge of in local communities, due respect of Prior Informed Consent, protection of their rights, and awareness of the opportunity to access the ABS benefits generated. This also applies to the subsequent implementation of the ABS framework together with public and especially private stakeholders through specific ABS agreements, which however lies beyond the immediate scope of the project. The framework to be developed through the project should set the stage for local stakeholders to benefit from the (genetic) resources they can protect to create new incentives for their conservation at the species or habitat levels.

C. Next Steps (for projects requiring further environmental and social review and management):

Given that the adoption and implementation at national level of the CBD Nagoya Protocol on ABS is still in its infancy, the project must consider and integrate the lessons learned and approaches applied in related similar endeavours, adapted to the national circumstances in Algeria. This will require international benchmarking procedures during project preparation and project implementation -- especially of other ABS endeavours of UNDP-GEF, the GLZ ABS Capacity Development Initiative and the newly approved GEF-UNDP-GLZ ABS Capacity Development Platform. These should elaborate on specific risks and opportunities and provide the necessary safeguard approaches that can and should be applied in the project.

D. Sign Off



Project Manager: Yves Desève

Signed Date: 2014-07-02

ENVIRONMENTAL AND SOCIAL SCREENING CHECKLIST

Name of Proposed Project: Developing a national strategy and legal and institutional framework on ABS in Algeria

QUESTION 1

Has a combined environmental and social assessment/review that covers the proposed project already been completed by implementing partners or donor(s)?

Answer to Question 1: No

QUESTION 2

Do ALL outputs and activities described ONLY in the Project Document fall within the following categories?

1. Procurement (in which case UNDP's Procurement Ethics and Environmental Procurement Guide need to be complied with)
2. Report preparation
3. Training
4. Event/workshop/meeting/conference (refer to Green Meeting Guide)
5. Communication and dissemination of results

Answer to Question 2: No

QUESTION 3

Does the proposed project include activities and outputs that support upstream planning processes that potentially pose environmental and social impacts or are vulnerable to environmental and social change (refer to Table 3.1 for examples)? (Note that upstream planning processes can occur at global, regional, national, local and sectoral levels)

Evaluation Result of Checklist Table 3.1: Yes

TABLE 3.1 EXAMPLES OF UPSTREAM PLANNING PROCESSES WITH POTENTIAL DOWNSTREAM ENVIRONMENTAL AND SOCIAL IMPACTS

1. Support for the elaboration or revision of global-level strategies, policies, plans, and programmes. For example, capacity development and support related to international negotiations and agreements. Other examples might include a global water governance project or a global MDG project.	No
2. Support for the elaboration or revision of regional-level strategies, policies and plans, and programmes. For example, capacity development and support related to transboundary programmes and planning (river basin management, migration, international waters, energy development and access, climate change adaptation etc.)	No
3. Support for the elaboration or revision of national-level strategies, policies, plans and programmes. For example, capacity development and support related to national development policies, plans, strategies and budgets, MDG-based plans and strategies (e.g. PRS/PRSPs, NAMAs), sector plans.	Yes
4. Support for the elaboration or revision of sub-national/local-level strategies, policies, plans and programmes. For example, capacity development and support for district and local level development plans and regulatory frameworks, urban plans, land use development plans, sector plans, provincial development plans, provision of services, investment funds, technical guidelines and methods, stakeholder engagement.	Yes

QUESTION 4

Does the proposed project include the implementation of downstream activities that potentially pose environmental and social impacts or are vulnerable to environmental and social change?

Evaluation Result of Checklist Table 4.1: Yes

TABLE 4.1 ADDITIONAL SCREENING QUESTIONS TO DETERMINE THE NEED AND POSSIBLE EXTENT OF FURTHER ENVIRONMENTAL AND SOCIAL REVIEW AND MANAGEMENT

1. Biodiversity and Natural Resources	
1.1 Would the proposed project result in the conversion or degradation of modified habitat, natural habitat or critical habitat?	No
1.2 Are any development activities proposed within a legally protected area (e.g. natural reserve, national park) for the protection or conservation of biodiversity?	No
1.3 Would the proposed project pose a risk of introducing invasive alien species?	No

1.4 Would the proposed project pose a risk of introducing invasive alien species?	No
1.5 Does the project involve the production and harvesting of fish populations or other aquatic species without an accepted system of independent certification to ensure sustainability (e.g. the Marine Stewardship Council certification system, or certifications, standards, or processes established or accepted by the relevant National Environmental Authority)?	No
1.6 Does the project involve significant extraction, diversion or containment of surface or ground water? For example, construction of dams, reservoirs, river basin developments, groundwater extraction.	No
1.7 Does the project pose a risk of degrading soils?	No
2. Pollution	
2.1 Would the proposed project result in the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and transboundary impacts?	No
2.2 Would the proposed project result in the generation of waste that cannot be recovered, reused, or disposed of in an environmentally and socially sound manner?	No
2.3 Will the proposed project involve the manufacture, trade, release, and/or use of chemicals and hazardous materials subject to international action bans or phase-outs? For example, DDT, PCBs and other chemicals listed in international conventions such as the Stockholm Convention on Persistent Organic Pollutants, or the Montreal Protocol.	No
2.4 Is there a potential for the release, in the environment, of hazardous materials resulting from their production, transportation, handling, storage and use for project activities?	No
2.5 Will the proposed project involve the application of pesticides that have a known negative effect on the environment or human health?	No
3. Climate Change	
3.1 Will the proposed project result in significant greenhouse gas emissions? The Environment and Social Screening Procedure Guidance provides additional guidance for answering this question.	No
3.2 Is the proposed project likely to directly or indirectly increase environmental and social vulnerability to climate change now or in the future (also known as maladaptive practices)? You can refer to the Environment and Social Screening Procedure Guidance to help you answer this question. For example, a project that would involve indirectly removing mangroves from coastal zones or encouraging land use plans that would suggest building houses on floodplains could increase the surrounding population's vulnerability to climate change, specifically flooding.	No
4. Social Equity and Equality	

4.1 Would the proposed project have environmental and social impacts that could negatively affect indigenous people or other vulnerable groups?	No
4.2 Is the project likely to significantly impact gender equality and women's empowerment?	No
4.3 Is the proposed project likely to directly or indirectly increase social inequalities now or in the future?	No
4.4 Will the proposed project have variable impacts on women and men, different ethnic groups, social classes?	No
4.5 Have there been challenges in engaging women and other certain key groups of stakeholders in the project design process?	No
4.6 Will the project have specific human rights implications for vulnerable groups?	Yes
5. Demographics	
5.1 Is the project likely to result in a substantial influx of people into the affected community(ies)?	No
5.2 Would the proposed project result in substantial voluntary or involuntary resettlement of populations? For example, projects with environmental and social benefits (e.g. protected areas, climate change adaptation) that impact human settlements, and certain disadvantaged groups within these settlements in particular	No
5.3 Would the proposed project lead to significant population density increase which could affect the environmental and social sustainability of the project? For example, a project aiming at financing tourism infrastructure in a specific area (e.g. coastal zone, mountain) could lead to significant population density increase which could have serious environmental and social impacts (e.g. destruction of the area's ecology, noise pollution, waste management problems, greater work burden on women)	No
6. Culture	
6.1 Is the project likely to significantly affect the cultural traditions of affected communities, including gender-based roles?	No
6.2 Will the proposed project result in physical interventions (during construction or implementation) that would affect areas that have known physical or cultural significance to indigenous groups and other communities with settled recognized cultural claims?	No
6.3 Would the proposed project produce a physical "splintering" of a community? For example, through the construction of a road, powerline, or dam that divides a community	No
7. Health and Safety	
7.1 Would the proposed project be susceptible to or lead to increased vulnerability to earthquakes,	No

subsidence, landslides, erosion, flooding or extreme climatic conditions" For example, development projects located within a floodplain or landslide prone area	
7.2 Will the project result in increased health risks as a result of a change in living and working conditions" In particular, will it have the potential to lead to an increase in HIV/AIDS infection"	No
7.3 Will the proposed project require additional health services including testing"	No
8. Socio-Economics	
8.1 Is the proposed project likely to have impacts that could affect women's and men's ability to use, develop and protect natural resources and other natural capital assets" For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their development, livelihoods, and well-being"	Yes
8.2 Is the proposed project likely to significantly affect land tenure arrangements and/or traditional cultural ownership patterns"	Yes
8.3 Is the proposed project likely to negatively affect the income levels or employment opportunities of vulnerable groups"	No
9. Cumulative and/or Secondary Impacts	
9.1 Is the proposed project location subject to currently approved land use plans (e.g. roads, settlements) which could affect the environmental and social sustainability of the project" For example, future plans for urban growth, industrial development, transportation infrastructure, etc	No
9.2 Would the proposed project result in secondary or consequential development which could lead to environmental and social effects, or would it have potential to generate cumulative impacts with other known existing or planned activities in the area" For example, a new road through forested land will generate direct environmental and social impacts through the cutting of forest and earthworks associated with construction and potential relocation of inhabitants. These are direct impacts. In addition, however, the new road would likely also bring new commercial and domestic development (houses, shops, businesses). In turn, these will generate indirect impacts. (Sometimes these are termed "secondary" or "consequential" impacts). Or if there are similar developments planned in the same forested area then cumulative impacts need to be considered.	No

Annex 5: Terms of Reference

Summary Overview of PPG Consultants and Main Responsibilities

International consultants	
International Specialist for GEF Biodiversity Project Development (Team Leader)	<ul style="list-style-type: none"> • Conceptual leadership on GEF processes and deliverables • Overall team coordination and quality assurance for team inputs • Lead on PRODOC and CEO Endorsement Request write up
International Specialist on ABS and Nagoya Protocol	<ul style="list-style-type: none"> • Conceptual lead and international benchmarking on ABS Protocol implementation frameworks and related bio-prospecting and benefit sharing • Defines M&E indicators, baselines and targets for ABS and TK • Conduct relevant studies requiring international expertise, such as on options for the financial mechanism to be developed for collecting and distributing benefits from ABS • Contributes significant sections to PRODOC and CEO Endorsement Request package on ABS
National consultants	
National ABS and Genetic Resources Specialist	<ul style="list-style-type: none"> • Compiles country-specific background studies and baseline and barrier analyses relating to genetic resources, and on ABS related opportunities in terms of bio-prospecting and benefit sharing • Organise national-level stakeholder consultations and validation workshop • Coordinate with relevant projects and partners • Support the formulation of the PRODOC and GEF CEO Endorsement Request package, led by the international consultants
National Traditional Knowledge Specialist	<ul style="list-style-type: none"> • Compiles country-specific background studies and baseline and barrier analyses relating to traditional knowledge, and on ABS related opportunities in terms of TK conservation • Organise local-level stakeholder consultations • Support the formulation of the PRODOC and GEF CEO Endorsement Request package, led by the international consultants
National Policy, Regulatory and Institutional Specialist	<ul style="list-style-type: none"> • Reviews policy, regulatory and institutional frameworks and capacity development needs on ABS, assessing strengths and weaknesses, analysing barriers, and proposing nationally-appropriate solutions for improvement • Support the formulation of the PRODOC and GEF CEO Endorsement Request package, led by the international consultants



TERMS OF REFERENCE / VACANCY ANNOUNCEMENT

1 Information

Position Title:	International Specialist for GEF Project Development (Team Leader)
Location:	Home-based with missions to Algeria
Type of contract:	Individual contract
Post level:	International consultant
Languages required:	English, with verbal and reading proficiency in French an asset
Expected duration of assignment:	Estimated 30 working days spread over the period 01 December 2014 to GEF CEO Endorsement (normally due by 21 May 2015)

2 Background

The Nagoya ABS Protocol was adopted in October 2010 in Japan by the 10th Conference of the Parties to the Convention on Biological Diversity (CBD). It entered into force on October 2014 at CBD COP-12. The Nagoya Protocol is of strategic importance because it provides a transparency mechanism but also a legal certainty for providers and users of genetic resources and associated traditional knowledge, while strengthening the opportunities for equitable sharing of benefits arising from their use. Parties to the CBD have been asked to determine the best approach for implementing the Protocol in order to achieve common goals, while taking into account national interests. In Algeria, a law on biological resources was adopted by the Council of the Nation on 9 July 2014 to preserve national biological wealth and fight against its uncontrolled exploitation. The creation of a "national body of biological resources" responsible for the rational and organized exploitation of these resources was also announced by the Minister of Planning and Environment.

The Government of Algeria has sought UNDP support to implement the Project Preparation Grant (PPG) for the UNDP-GEF medium-sized project "**Developing a national strategy and legal and institutional framework on access to genetic resources and related benefit sharing and traditional knowledge in line with the CBD and its Nagoya Protocol in Algeria**". The project seeks to *consolidate actions to conserve and sustainably use genetic resources and related traditional knowledge in Algeria through the development and implementation of a national strategy and legal and institutional framework on access and benefit sharing (ABS) in line with the CBD and the Nagoya Protocol*, and has two components: 1) Developing a national policy, legal and institutional framework to enable the implementation of the Nagoya Protocol and the conservation and valuation of genetic resources, and 2) Building and strengthening the capacity of national research and regulatory institutions to apply ABS rules and principles.

On 21 May 2014, the GEF CEO approved the project concept (the Project Identification Form). Project development now will include a preparatory phase in the form of a **Project Preparatory Grant (PPG)**. During the PPG, a team of national and international consultants together with the UNDP Country Office in Algeria and the responsible UNDP-GEF Regional Technical Advisor – and working in close collaboration with key government officials, donors, NGOs, CBOs, and the private sector – will undertake a number of literature reviews, studies, stakeholder consultations and field visits, with the view to further develop the approved PIF into a fully formulated project ready to start full-fledged implementation. The UNDP Country Office in Algeria will lead the PPG in close coordination with the Ministry of Agriculture and Rural Development of Algeria.

The **Key Expected Outputs of the PPG** will be a technically robust **UNDP Project Document (PRODOC)** and a Request for **GEF-CEO Endorsement package** ready for submission to the GEF Secretariat. The preparatory phase will moreover confirm the lead national implementing agency, identify further responsible parties and implementing partners, and

negotiate and define implementation arrangements. Consultation with stakeholders relevant for project activities will put emphasis on building the partnerships required for realizing the project's objectives and the mobilization of co-financing.

Considering the above PIF approval date, the **GEF CEO Endorsement Request package and PRODOC must be submitted to the GEF CEO by 21 May 2015**, in respect of the GEF 12-month milestone. To meet this milestone, the CEO Endorsement Request package and PRODOC will be submitted in final draft versions for internal clearance to UNDP four weeks before this deadline. Subsequent revisions addressing UNDP-GEF or GEF Secretariat queries may be required and are part of the contract. The final submissions to the GEF will require prior government validation and clearance. Submission to the GEF will only be effected after all due clearances have been obtained. Consultation with stakeholders relevant for the project activities will put emphasis on building the partnerships required for realizing the project's objectives and the mobilization of co-financing. The national consultation process will also include the local communities that are expected to benefit from the Project.

The UNDP Country Office (CO) in Algeria is seeking the services of an **International Specialist for GEF Biodiversity Project Development (Team Leader)** to lead the implementation of the Project Preparation Grant (PPG).

The International Specialist for GEF Biodiversity Project Development (Team Leader) will be part of a team of consultants to work closely with, and under the overall guidance of, the UNDP Country Office, the UNDP-GEF Regional Technical Advisor and the project counterparts in the Algerian Government. In addition to the International Specialist for GEF Biodiversity Project Development (Team Leader), the team is expected to consist of:

- An International Specialist on ABS and Nagoya Protocol
- A National ABS and Genetic Resources Specialist
- A National Traditional Knowledge Specialist
- A National Policy, Regulatory and Institutional Specialist

3 Duties and responsibilities

The International Specialist for GEF Biodiversity Project Development (Team Leader) will be responsible for the timely development of the UNDP Project Document and the GEF-CEO Endorsement Request package for submission to the GEF. He/She will work closely with UNDP and government specialists and other key stakeholders at the country level as well as with the UNDP-GEF regional staff providing technical and administrative support to this project. Two extended missions to Algeria are proposed (of altogether c. 20 days).

Under the above overall responsibilities and with support from the team of further PPG consultants, the tasks of the International Specialist/Team Leader are expected to include, but may not be limited to:

Component A: Technical review and stakeholder consultations

- Together with the UNDP-GEF RTA and the International Specialist on ABS and Nagoya Protocol, help scope PPG activities & work plan and provide overall orientation and leadership to the PPG team in relation to GEF requirements for project design and monitoring.
- Together with the UNDP-GEF RTA and the International Specialist on ABS and Nagoya Protocol, provide guidance to the PPG team on primary vs. secondary data collection needs and methodologies, and the content, format and quality of deliverables.
- Participate in regular exchanges between the UNDP Country Office, UNDP-GEF RTA, Government Partners and further stakeholders on the development and finalization of the PRODOC and CEO Endorsement Request package.
- Participate in PPG inception at the onset of the PPG, bringing together the leading stakeholders from government, partners and UNDP (country office and RTA), as well as the team of PPG consultants to agree on process and roles and quality assurance; depending on timelines and availability, this may involve participants participating online. Lead the preparation of a key-point inception report.
- Stakeholder analysis and engagement:
 - Contribute to the analysis of relevant stakeholders, including from national government (sector administrations/ ministries/ agencies), local administrations (wilaya, daïras and municipalities), civil

society/NGOs, local populations, the private sector, and universities and research institutes, especially with regard to their responsibilities pertaining to ABS (including providers and users of genetic resources and traditional knowledge) and their roles in the project;

- Contribute to the stakeholder participation/ engagement plan for PPG and especially FSP;
- Contribute to reaching out, engaging and sensitising stakeholders about the project and secure their input to its design, including through national and regional/local workshops;
- Contribute to partnership negotiations with on-going projects to align their activities and to build synergies.
- **Together with the International Specialist on ABS and Nagoya Protocol, provide overall coordination and technical leadership for desktop studies, field-based research and stakeholder consultations, to validate and further elaborate on the on the ABS & TK-related situation analysis underpinning project design (building on the PIF). This will include *inter alia*:**
 - Review a quick assessment of the status of knowledge on genetic resources and traditional knowledge in Algeria and their utilisation, as well as of threats to them and of related opportunities resulting from the adoption and implementation of the ABS Protocol;
 - Guide research and review study reports prepared by the national team on further details regarding the problem, baseline investment and barrier analyses,
 - Assess and define the adequacy, technical feasibility, additionality, incrementality and cost-effectiveness of the project's interventions;
 - Guide and review assessments prepared by the national team of existing policies and plans (national strategies, policies, territorial and sector plans, etc.), institutional set up and decision making processes (evolution, main actors, roles and responsibilities, coordination, partnership, decentralization and local governance, level of participation of actors, procedures and operational mechanisms), legal and regulatory frameworks, planning and approval systems, relevant to ABS and related bio-prospecting as well as to traditional knowledge; with an assessment of their strengths, weaknesses, opportunities and threats;
 - Lead an assessment of opportunities/risks identified during the environmental and social pre-screening of the PIF through application and completion of the UNDP Social and Environmental Screening; prepare risk mitigation measures to be incorporated into project design and costs.
 - Review an assessment of existing capacity on ABS & TK and definition of related capacity development / training needs.
 - Review an assessment of options for the financial mechanism to be developed for collecting and distributing benefits from ABS;
- Building on the PIF and the above background research
 - **with significant input from the International Specialist on ABS and Nagoya Protocol and national consultants, lead the summary formulation of problem, baseline investment and barrier analyses, and of the assessment and definition of the adequacy, technical feasibility, additionality, incrementality and cost-effectiveness of the project's interventions;**
 - contribute to the choice of specific sites for intervention, as required;
 - **with significant input from the International Specialist on ABS and Nagoya Protocol and national consultants, lead the elaboration of project interventions in detail and of the strategic results framework and costed actions, including suitable objective-level and outcome-level quantitative and qualitative SMART indicators and the related baselines and end-of-project targets, including socio-economic and sex disaggregated indicators.**
- Reflect in the project strategy/PRODOC the integration of the project with the goals and priorities of relevant national and sector development plans, policies and budgets
- Reflect relevant existing and planned initiatives on ABS, bio-prospecting and TK in the project strategy/PRODOC
- Together with the International Specialist on ABS and Nagoya Protocol, lead the development of a detailed monitoring and evaluation (M&E) work plan, to include clear identification of responsibilities and accountabilities as well as an appropriate M&E budget. The plan will be based on the standard template provided in the UNDP-GEF project document template that reflects the mandatory requirements of the GEF M&E Policy, but add further elements allowing continuing in-depth M&E throughout the project in line with the need for increased attention on M&E.
- Guide and review the completion of the UNDP and GEF Tracking Tools required for the project:
 - GEF ABS TT (synonymous with ABS Capacity Scorecard). A baseline survey will be led with the national agencies with competency on ABS and other relevant stakeholder groups/institutions to enable

measurement of capacity and capacity development activities with the ABS Tracking Tool. The related targets will be defined during PPG.

- UNDP Capacity Development Scorecard
- Lead the formulation of a sustainability plan, outlining the principles and guidelines for ensuring the long-term sustainability of project achievements.

Component B: Institutional and management arrangements, co-financing investments and financial planning

- Contribute to consultations with partners to secure a coherent and sustainable financing package for the project including post-GEF grant phase, exploring multilateral and bilateral co-financing opportunities as required.
- Coordinate the pursuit of co-financing letters/guarantees to be collected from participating government institutions, bilateral development partners, multilateral development partners and NGOs who wish and/or committed to provide cash or in kind contributions to the project.
- Together with the International Specialist on ABS and Nagoya Protocol, lead the preparation of a detailed multi-year budget and work plan for the project (following the standard template provided in the UNDP-GEF project document template), indicating the inputs needed (consultants, contractual services, travel, etc.) in the applicable format.
- Review and comment as required on the institutional, implementation and management arrangements agreed between UNDP and key government representatives and other stakeholders (including roles, responsibilities and accountabilities of lead and partner agencies, and the organisational structure and board governing the project); document the related consultations.

Component C: Finalisation and Validation of Key Outputs

- Building on the above, critically review and validate the results of the studies undertaken and **lead the formulation/consolidation and completion of the PRODOC and Request for CEO Endorsement Package**, together with the International Specialist on ABS and Nagoya Protocol.
- Participate (electronically if required) in a validation workshop gathering key stakeholders to present, discuss and **validate the final draft project document** in all its aspects.

4 Key UNDP/GEF references for this Project/PPG

- GEF-5 Focal Area Strategy for Biodiversity;
- PIF for “Developing a national strategy and legal and institutional framework on access to genetic resources and related benefit sharing and traditional knowledge in line with the CBD and its Nagoya Protocol in Algeria”;
- GEF Secretariat Review Sheet of the PIF/Project;
- UNDP Project Document and GEF CEO Endorsement Request package guidelines and templates;
- UNDP & GEF M&E Guidance;
- GEF ABS Tracking Tool and UNDP Capacity Development Scorecard;
- UNDP Environmental and Social Screening Procedure - Guidance Note and PIF pre-screening;
- Relevant UNDP Programme and Operations Policies and Procedures;
- Documentation of relevant other endeavours, most notably projects of the Government and GIZ and of the private sector

5 Key deliverables and terms and conditions for provision of services

The International Specialist for GEF Biodiversity Project Development (Team Leader) assumes the overall coordination and responsibility for the timely completion of the full GEF CEO Endorsement Request Package and PRODOC in appropriate quality. This will involve writing the largest share of these documents as well as editing, reconciling and merging sections prepared by the team of supporting consultants. The key deliverables under this contract are therefore:

- The completed and cleared GEF CEO Endorsement Request and UNDP-GEF Project Document, using updated templates following guidance by the UNDP/GEF Regional Technical Advisor.
- The UNDP Environmental and Social Screening.
- The required UNDP and GEF Tracking Tools.
- The required co-financing letters.
- Studies identified under *Duties and responsibilities* above to be led by the Team Leader, which may be written for direct integration into the PRODOC and CEO Endorsement Request.

UNDP may contact the contractor at any time during working hours and request the contractor's expert support and guidance, technical inputs, participation in meetings and elaboration of a document pertaining to the execution of the PPG/Initiation Plan outlined above.

Payment is based upon output and will be made upon confirmation by UNDP that contract obligations have been met in a satisfactory manner in accordance with milestones, i.e. delivery of the services specified in the ToR to be approved by UNDP and meeting agreed deadlines. The tentative payment schedule is as follows: Upon contract signature: 20% of the total amount. Upon submission of a complete draft CEO Endorsement Request Package and a complete draft PRODOC and clearance for submission by UNDP: 40% of the total. Upon subsequent review and final approval by the GEF Secretariat of the PRODOC and issuance of CEO Endorsement: 40% of the total.

Approval: Review of the deliverables regarding quality and timeliness will be undertaken by the UNDP Country Office and the Cape Verde Government counterpart, then the UNDP/GEF Regional Technical Advisor and eventually the UNDP/GEF Principal Technical Advisor to ensure alignment and compliance with UNDP and GEF requirements.

6 Competencies

- Excellent technical, analytical and editing skills, allowing effective communication of complex technical information
- Strong strategic planning, results-based management and reporting capabilities
- Excellent team player with good interpersonal skills
- Ability to manage workload with minimum supervision
- Ability to work under pressure and tight deadlines
- Ability to accommodate additional demands at short notice
- Ability to work in a multi-cultural environment
- Displays cultural, gender, religion, nationality and age sensitivity and adaptability;
- Skills in achieving results through persuading, influencing, & working with others;
- Skills in negotiating effectively in sensitive situations;
- Skills in facilitating meetings effectively and efficiently and to resolve conflicts as they arise

7 Required skills and experience

Education	Graduate or advanced degree in a field relevant to biodiversity (biology, ecology, environmental sciences, geography, natural resources management, environmental policy, environmental economics, rural development, etc.), preferably with a professional specialization in project development/ implementation.
Experience	<ul style="list-style-type: none"> • Significant experience in designing GEF projects and leading PPGs on biodiversity, preferably with UNDP as an implementing agency, and ideally with elements on ABS, genetic resources and traditional knowledge; • Experience in writing UNDP-GEF Project Documents and CEO Endorsement Request packages; • Knowledge of international conventions on biodiversity, the Nagoya Protocol and its

	<p>implementation, genetic resources and related traditional knowledge;</p> <ul style="list-style-type: none"> • Experience in working and collaborating with governments an asset; • Experience with using IT and related communication tools; • Experience working within the UN system an asset; • Extensive working experience in North Africa or Algeria an asset.
Language Requirements	<ul style="list-style-type: none"> • Excellent knowledge in either French or English (and preferably in both) including full writing proficiency and verbal communication skills; knowledge in Arabic an advantage.

8 Implementation arrangements

To guide and assist the team of consultants, a joint project development and facilitation team will be established to include the UNDP-GEF Regional Technical Advisor as well as a national technical working group including the Environment Programme Analyst of the UNDP Country Office, as well as project focal points at the Algerian Ministry of Agriculture and Rural Development. The team will be responsible for guiding, coordinating, organizing, and managing the PPG preparatory process. The main responsibilities will be to provide the required data/information and feedback to the team of consultants; backstopping and providing relevant templates; organising and supporting stakeholder consultations and ensuring their participation; supporting problem analysis; liaising with partners and leading national stakeholder involvement on project strategy and institutional and management arrangements and on co-financing matters; and supporting alignment with national priorities and understanding of the local and national contexts.

9 Further aspects

Individual Consultants are responsible for ensuring they have vaccinations/inoculations when travelling to certain countries, as designated by the UN Medical Director. Consultants are also required to comply with the UN security directives set forth under dss.un.org and should have concluded the UNDP Basic Security in the Field course prior to travelling.

10 Submission of applications

Interested candidates in the UNDP-GEF Ecosystems & Biodiversity Experts Roster should send an email indicating their earliest availability to start the assignment as well as an updated CV/P11 and a financial proposal (without travel costs; or with separately listed travel costs) to **The Resident Representative, UNDP Algeria, Boite postale 823, Alger Gare, Algiers 16000, Algeria, faiza.bendriss@undp.org** and in copy yves.desoye@undp.org, indicating "ABS PPG International Team Leader" in the subject field.